

FORMAT OF HOLDING OF SPECIFIED SECURITIES

- 1 Name of Listed Entity : Aditya Birla Capital Ltd
2 Scrip Code / Name of Scrip / Class of Security : 540691/ABCAPITAL
3 Shareholding Pattern filed under : Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31(1)(c)
a. If under 31(1)(b) then indicate the report for quarter ending : 30-09-2020
b. If under 31(1)(c) then indicate date of allotment / extinguishment

4 **Declaration** : The listed entity is required to submit the following declaration to the extent of submission of information :-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares ?		No*
2	Whether the Listed Entity has issued any Convertible Securities or Warrants ?		No*
3	Whether the Listed Entity has any shares against which depository receipts are issued ?	Yes*	
4	Whether the Listed Entity has any shares in locked-in ?	Yes*	
5	Whether any shares held by promoters are pledge or otherwise encumbered ?		No*

* If the Listed Entity selects the option "No" for the questions above, the columns for the partly paid up shares, Outstanding convertible Securities/Warrants, depository receipts, locked-in shares, Number of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is "No" declared by Listed Entity in above table the values will be considered as "Zero" by default on submission of the format of holding of specified securities.

5 The tabular format for disclosure of holding of specified securities is as follows :-

Table 1 - Summary Statement holding of specified securities.

Category (I)	Category of shareholder (II)	No. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total No. shares held (VII)= (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calculated as per SCRR,1957) (VIII) As a % of (A+B+C2)	Number of Voting rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of	Number of locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No. of voting Rights			Total as a % of (A+B+C)			Number (a)	As a % of total shares held (b)	Number (a)	As a % of total shares held (b)	
								Class- Equity	Class eg : y	Total	Total as a % of (A+B+C)							
	Promoter & Promoter Group	25	1,66,76,72,067		3,36,16,128	1,70,12,88,195	70.47	1,66,76,72,067		1,66,76,72,067	70.56	-	70.47	10,00,00,000	78.31	-	-	1,70,12,88,195
(B)	Public	5,13,643	69,59,17,803		1,69,20,634	71,28,38,437	29.53	69,59,17,803		69,59,17,803	29.44	-	29.53	11,00,00,000	15.44	NA	-	68,18,94,245
(C)	Non promoter-Non Public											NA						
(C1)	Shares underlying DRs	-	-	-	-	-	-	-		-	-	-	-	-	-	NA	-	-
(C2)	Shares held by Employee Trusts	-	-	-	-	-	-	-		-	-	-	-	-	-	NA	-	-
	Total	5,13,668	2,36,35,89,870	-	5,05,36,762	2,41,41,26,632	-	2,36,35,89,870		2,36,35,89,870	100.00	-	-	21,00,00,000	8.70	-	-	2,38,31,82,440

Table II - Statement showing shareholding pattern of the Promoters and Promoter Group

(1)	Category and Name of the Shareholders (I)	PAN (II)	No. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total No. shares held (VII)=(IV+V+VI)	Shareholding % calculate as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of A+B+C2	Number of locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No. of voting Rights		Total as a % of Total voting rights			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)		
									Class- Equity	Class eg : y									Total
(a)	INDIAN																		
	Individuals/Hindu undivided Family		5	12,19,624	-	-	12,19,624	0.05	12,19,624	-	12,19,624	0.05	-	0.05	-	-	-	-	12,19,624
	Rajashree Birla			7,73,989	-	-	7,73,989	0.03	7,73,989	-	7,73,989	0.03	-	0.03	-	-	-	-	7,73,989
	Kumar Mangalam Birla			51,790	-	-	51,790	0.00	51,790	-	51,790	0.00	-	0.00	-	-	-	-	51,790
	Neerja Birla			1,02,286	-	-	1,02,286	0.00	1,02,286	-	1,02,286	0.00	-	0.00	-	-	-	-	1,02,286
	Vasavadatta Bajaj			1,65,951	-	-	1,65,951	0.01	1,65,951	-	1,65,951	0.01	-	0.01	-	-	-	-	1,65,951
	Aditya Vikram Kumarmangalam Birla HUF			1,25,608	-	-	1,25,608	0.01	1,25,608	-	1,25,608	0.01	-	0.01	-	-	-	-	1,25,608
(b)	Central Government/State Government(s)											-							
(c)	Financial Institutions/ Banks											-							
(d)	Any other (specify)											-							
	Body Corporate (Group Co)		15	1,64,39,52,443	-	-	1,64,39,52,443	68.10	1,64,39,52,443	-	1,64,39,52,443	69.56	-	68.10	7,75,00,000	4.71	-	-	1,64,39,52,443
	Birla Consultants Limited			1,22,334	-	-	1,22,334	0.01	1,22,334	-	1,22,334	0.01	-	0.01	-	-	-	-	1,22,334
	Birla Industrial Finance (India) Limited			1,22,479	-	-	1,22,479	0.01	1,22,479	-	1,22,479	0.01	-	0.01	-	-	-	-	1,22,479
	Birla Industrial Investments (India) Limited			26,119	-	-	26,119	0.00	26,119	-	26,119	0.00	-	0.00	-	-	-	-	26,119
	ECE Industries Ltd.			4,71,931	-	-	4,71,931	0.02	4,71,931	-	4,71,931	0.02	-	0.02	-	-	-	-	4,71,931
	Grasim Industries Limited			1,30,92,40,000	-	-	1,30,92,40,000	54.24	1,30,92,40,000	-	1,30,92,40,000	55.40	-	54.24	7,70,00,000	100.00	-	-	1,30,92,40,000
	Hindalco Industries Limited			3,95,11,455	-	-	3,95,11,455	1.64	3,95,11,455	-	3,95,11,455	1.67	-	1.64	-	-	-	-	3,95,11,455
	Birla Group Holdings Private Limited			17,50,06,156	-	-	17,50,06,156	7.25	17,50,06,156	-	17,50,06,156	7.41	-	7.25	-	-	-	-	17,50,06,156
	Rajratna Holdings Private Limited			938	-	-	938	0.00	938	-	938	0.00	-	0.00	-	-	-	-	938
	Umang Commercial Company Private Limited			3,74,44,766	-	-	3,74,44,766	1.55	3,74,44,766	-	3,74,44,766	1.58	-	1.55	-	-	-	-	3,74,44,766
	Vikram Holdings Pvt Ltd			1,050	-	-	1,050	0.00	1,050	-	1,050	0.00	-	0.00	-	-	-	-	1,050
	Vaibhav Holdings Private Limited			938	-	-	938	0.00	938	-	938	0.00	-	0.00	-	-	-	-	938
	Birla Institute Of Technology And Science			9,25,687	-	-	9,25,687	0.04	9,25,687	-	9,25,687	0.04	-	0	-	-	-	-	9,25,687
	Pilani Investment And Industries Corporation Ltd.			3,36,01,721	-	-	3,36,01,721	1.39	3,36,01,721	-	3,36,01,721	1.42	-	1	2,50,000	0.74	-	-	3,36,01,721
	Renuka Investments & Finance Limited			3,39,059	-	-	3,39,059	0.01	3,39,059	-	3,39,059	0.01	-	0	-	-	-	-	3,39,059

	IGH Holdings Private Limited		4,71,37,810	-	-	4,71,37,810	1.95	4,71,37,810	-	4,71,37,810	1.99	-	2	2,50,000	0.53	-	-	4,71,37,810
	Sub-total (A) (1)	20	1,64,51,72,067	-	-	1,64,51,72,067	68.16	1,64,51,72,067	-	1,64,51,72,067	69.61	-	68.16	7,75,00,000	4.71	-	-	1,64,51,72,067
(2)	FOREIGN						-				-							
(a)	Individuals (Non-resident Individual/ Foreign Individuals)																	
(b)	Government																	
(c)	Institutions																	
(d)	Foreign Portfolio Investor																	
(e)	Any other (specify)	5	2,25,00,000	-	3,36,16,128	5,61,16,128	2.32	2,25,00,000	-				2.32	2,25,00,000	40.10	-	-	5,61,16,128
	P.T. Indo Bharat Rayon				2,80,05,628	2,80,05,628	1.16						1.16					2,80,05,628
	P T Sunrise Bumi Textiles				17,76,250	17,76,250	0.07						0.07					17,76,250
	P T elegant Textile Industry				11,32,250	11,32,250	0.05						0.05					11,32,250
	Thai Rayon Public Company Limited				26,95,000	26,95,000	0.11						0.11					26,95,000
	Surya Kiran Investments Pte Limited		2,25,00,000		7,000	2,25,07,000	0.93	2,25,00,000					1	2,25,00,000	99.97			2,25,07,000
	Sub-total (A) (2)	5	2,25,00,000	-	3,36,16,128	5,61,16,128	2.32	2,25,00,000	-				2.32	2,25,00,000	40.10	-	-	5,61,16,128
	Total Shareholding of Promoter and Promoter Group (A) = (A)(1) + (A)(2)	25	1,66,76,72,067	-	3,36,16,128	1,70,12,88,195	70.48	1,66,76,72,067	-	1,64,51,72,067	69.61		70.48	10,00,00,000	5.88	-	-	1,70,12,88,195

Details of shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders : 0

No. of shares outstanding : 0

Note :

(1) PAN would not be displayed on website of Stock Exchange(s). (2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Table III-Statement showing shareholding pattern of the Public shareholders.

	Category & Name of the Shareholders (I)	PAN (II)	No. of shareholders (III)	No. of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total No. of shares held VII = IV+ V + VI	Share holding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting rights held in each class of securities (IX)			No. of shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of shares Pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No. of voting Rights					Total as a % of Total voting rights	No. (a)	As a % of total shares held (b)	No. (a) (not applicable)		As a % of total shares held (b) (Not applicable)
									Class- Equity	Class eg : y	Total								
(1)	Institutions																		
(a)	Mutual funds		50	3,79,31,669	-	-	3,79,31,669	1.57	3,79,31,669	-	3,79,31,669	1.60	-	1.57	-	-	NA		3,78,70,988
(b)	Venture Capital Funds			-	-	-	-	-	-	-	-	-	-	-	-	-	NA		-
(c)	Alternate Investment Funds		4	10,07,88,752	-	-	10,07,88,752	4.18	10,07,88,752	-	10,07,88,752	4.26	-	4.18	1,00,00,000	9.92	NA		10,07,88,752
	PI Opportunities Fund I		1	9,29,74,651	-	-	9,29,74,651	3.85	9,29,74,651	-	9,29,74,651	3.93	-	3.85	1,00,00,000	10.76	NA		9,29,74,651
(d)	Foreign venture Capital Investors			-	-	-	-	-	-	-	-	-	-	-	-	-	NA		-
(e)	Foreign Portfolio Investors		122	5,10,43,660	-	-	5,10,43,660	2.11	5,10,43,660	-	5,10,43,660	2.16	-	2.11	-	-	NA		5,10,25,680
(f)	Financial Institutions/Banks		180	11,94,496	-	-	11,94,496	0.05	11,94,496	-	11,94,496	0.05	-	0.05	-	-	NA		9,73,777
(g)	Insurance Companies		16	6,11,70,253	-	-	6,11,70,253	2.53	6,11,70,253	-	6,11,70,253	2.59	-	2.53	-	-	NA		6,11,33,125
	Life Insurance Corporation of India		1	5,19,85,973	-	-	5,19,85,973	2.15	5,19,85,973	-	5,19,85,973	2.20	-	2.15	-	-	NA		5,19,85,973
(h)	Provident funds/Pension Funds			-	-	-	-	-	-	-	-	-	-	-	-	-	NA		-
(i)	Any other (specify)			-	-	-	-	-	-	-	-	-	-	-	-	-	NA		-
	Foreign Bodies Corporate		1	10,00,00,000	-	-	10,00,00,000	4.14	10,00,00,000	-	10,00,00,000	4.23	-	4.14	10,00,00,000	-	NA		10,00,00,000
	Sub-Total (B)(1)		373	35,21,28,830	-	-	35,21,28,830	14.59	35,21,28,830	-	35,21,28,830	14.90	-	14.59	11,00,00,000	9.92	NA		35,17,92,322
(2)	Central Government/ State Government(s)/ President of India		2	11,068	-	-	11,068	0.00	11,068	-	11,068	0.00	-	0.00	-	-	NA		11,068
	Sub-Total (B)(2)		2	11,068	-	-	11,068	0.00	11,068	-	11,068	0.00	-	0.00	-	-	NA		11,068
(3)	Non-Institutions																NA		
(a)	Individuals		4,98,690	21,88,36,434	-	-	21,88,36,434	9.07	21,88,36,434	-	21,88,36,434	9.26	-	9.07	-	-	NA		20,89,62,708
	i. Individual shareholders holding nominal share capital upto Rs.2 lakhs																NA		
	ii. Individual shareholders holding nominal share capital in excess of Rs.2 lakhs.		852	5,55,26,611	-	-	5,55,26,611	2.30	5,55,26,611	-	5,55,26,611	2.35	-	2.30	-	-	NA		5,53,17,765
(b)	NBFCs registered with RBI		15	6,22,491	-	-	6,22,491	0.03	6,22,491	-	6,22,491	0.03	-	0.03	-	-	NA		6,22,491
(c)	Employee Trusts			-	-	-	-	-	-	-	-	-	-	-	-	-	NA		-
(d)	overseas Depositories (holding DRs) (balancing figure)		1	-	-	1,69,20,634	1,69,20,634	0.70	-	-	-	-	-	0.70	-	-	NA		1,69,20,634
(e)	Any other (specify)		13,710	6,87,92,369	-	-	6,87,92,369	2.85	6,87,92,369	-	6,87,92,369	2.91	-	2.85	-	-	NA		4,82,67,257
	Trusts		67	3,26,918	-	-	3,26,918	0.01	3,26,918	-	3,26,918	0.01	-	0.01	-	-	NA		286598

Overseas Corporate Bodies		10	1,83,63,256	-	-	1,83,63,256	0.76	1,83,63,256	-	1,83,63,256	0.78	-	0.76	-	-	NA	0
Non-Resident Indian (NRI)		10,657	1,49,09,005	-	-	1,49,09,005	0.62	1,49,09,005	-	1,49,09,005	0.63	-	0.62	-	-	NA	1,31,74,303
Clearing Members		192	9,71,827	-	-	9,71,827	0.04	9,71,827	-	9,71,827	0.04	-	0.04	-	-	NA	9,71,827
Bodies Corporate		2,771	3,41,91,329	-	-	3,41,91,329	1.42	3,41,91,329	-	3,41,91,329	1.45	-	1.42	-	-	NA	3,38,04,495
Foreign Nationals		13	30,034	-	-	30,034	0.00	30,034	-	30,034	0.00	-	0.00	-	-	NA	30,034
Sub-Total (B)(3)		5,13,268	34,37,77,905	-	1,69,20,634	36,06,98,539	14.94	34,37,77,905	-	34,37,77,905	14.54	-	14.94	-	-	NA	33,00,90,855
Total Public Shareholding (B) = (B)(1) + (B)(2) + (B)(3)		5,13,643	69,59,17,803	-	1,69,20,634	71,28,38,437	29.53	69,59,17,803	-	69,59,17,803	29.44	-	29.53	11,00,00,000	15.43	NA	68,18,94,245

Details of shares which remain unclaimed may be given here along with details such as Number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders : **8245** **No. of shares :** **856029** **Voting rights frozen :** **856029**

Note :

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The above format needs to be disclosed along with the name of following persons : In stitutions/Non In stitutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

