

## FORMAT OF HOLDING OF SPECIFIED SECURITIES

- 1 Name of Listed Entity : Aditya Birla Capital Ltd
- 2 Scrip Code / Name of Scrip / Class of Security : 540691/ABCAPITAL
- 3 Shareholding Pattern filed under : Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31(1)( c )
- a. If under 31(1)(b) then indicate the report for quarter ending : 30TH SEPTEMBER 2017
- b. If under 31(1)( c ) then indicate date of allotment / extinguishment

4 **Declaration** : The listed entity is required to submit the following declaration to the extent of submission of information :-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares ?		No*
2	Whether the Listed Entity has issued any Convertible Securities or Warrants ?		No*
3	Whether the Listed Entity has any shares against which depository receipts are issued ?	Yes*	
4	Whether the Listed Entity has any shares in locked-in ?	Yes*	
5	Whether any shares held by promoters are pledge or otherwise encumbered ?	Yes*	

\* If the Listed Entity selects the option "No" for the questions above, the columns for the partly paid up shares, Outstanding convertible Securities/Warrants, depository receipts, locked-in shares, Number of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is "No" declared by Listed Entity in above table the values will be considered as "Zero" by default on submission of the format of holding of specified securities.

5 The tabular format for disclosure of holding of specified securities is as follows :-

**Table 1 - Summary Statement holding of specified securities.**

Category (I)	Category of shareholder (II)	No. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total No. shares held (VII)= (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calculated as per SCRR,1957) (VIII) As a % of (A+B+C2)	Number of Voting rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of	Number of locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No. of voting Rights			Total as a % of (A+B+C)			Number (a)	As a % of total shares held (b)	Number (a)	As a % of total shares held (b)	
								Class- Equity	Class eq: v	Total	Total as a % of (A+B+C)							
(A)	Promoter & Promoter Group	29	1,56,76,72,067	-	3,36,16,128	1,60,12,88,195	72.76	1,56,76,72,067		1,56,76,72,067	73.27	-	72.76	1,23,22,40,000	76.95	16,77,965	0.10	1,60,12,88,195
(B)	Public	3,32,178	57,17,77,781	-	2,78,40,975	59,96,18,756	27.24	57,17,77,781		57,17,77,781	26.73	-	27.24	4,84,00,000	8.07	NA		56,44,37,670
(C)	Non promoter-Non Public																	
(C1)	Shares underlying DRs	-	-	-	-	-	-	-		-	-	-	-	-	-	NA		-
(C2)	Shares held by Employee Trusts	-	-	-	-	-	-	-		-	-	-	-	-	-	NA		-
	<b>Total</b>	<b>3,32,207</b>	<b>2,13,94,49,848</b>	<b>-</b>	<b>6,14,57,103</b>	<b>2,20,09,06,951</b>	<b>100.00</b>	<b>2,13,94,49,848</b>		<b>2,13,94,49,848</b>	<b>100.00</b>	<b>-</b>	<b>100.00</b>	<b>1,28,06,40,000</b>	<b>58.19</b>	<b>16,77,965</b>	<b>0.08</b>	<b>2,16,57,25,865</b>

**Table II - Statement showing shareholding pattern of the Promoters and Promoter Group**

(1)	Category and Name of the Shareholders (I)	PAN (II)	No. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total No. shares held (VII)=(IV+V+VI)	Shareholding % calculate as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of A+B+C2	Number of locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No. of voting Rights					Total as a % of Total voting rights	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
									Class- Equity	Class eg : y	Total								
(a)	INDIAN																		
(a)	Individuals/Hindu undivided Family		5	12,19,624	-	-	12,19,624	0.06	12,19,624	-	12,19,624	0.06	-	0.06	-	-	-	-	12,19,624
	Rajashree Birla			1,25,608	-	-	1,25,608	0.01	1,25,608	-	1,25,608	0.01	-	0.01	-	-	-	-	1,25,608
	Kumar Mangalam Birla			1,02,286	-	-	1,02,286	0.00	1,02,286	-	1,02,286	0.00	-	0.00	-	-	-	-	1,02,286
	Neerja Birla			7,73,989	-	-	7,73,989	0.04	7,73,989	-	7,73,989	0.04	-	0.04	-	-	-	-	7,73,989
	Vasavadatta Bajaj			1,65,951	-	-	1,65,951	0.01	1,65,951	-	1,65,951	0.01	-	0.01	-	-	-	-	1,65,951
	Aditya Vikram Kumarmangalam Birla HUF			51,790	-	-	51,790	0.00	51,790	-	51,790	0.00	-	0.00	-	-	-	-	51,790
(b)	Central Government/State Government(s)																		
(c)	Financial Institutions/ Banks																		
(d)	Any other (specify)																		
	Body Corporate (Group Co)		19	1,56,64,52,443	-	-	1,56,64,52,443	71.17	1,56,64,52,443	-	1,56,64,52,443	73.22	-	71.17	1,23,22,40,000	78.66	16,77,965	0.11	1,56,64,52,443
	Birla Consultants Limited			1,22,334	-	-	1,22,334	0.01	1,22,334	-	1,22,334	0.01	-	0.01	-	-	-	-	1,22,334
	Birla Industrial Finance (India) Limited			1,22,479	-	-	1,22,479	0.01	1,22,479	-	1,22,479	0.01	-	0.01	-	-	-	-	1,22,479
	Birla Industrial Investments (India) Limited			26,119	-	-	26,119	-	26,119	-	26,119	-	-	-	-	-	-	-	26,119
	ECE Industries Ltd.			4,71,931	-	-	4,71,931	0.02	4,71,931	-	4,71,931	0.02	-	0.02	-	-	-	-	4,71,931
	Grasim Industries Limited			1,23,22,40,000	-	-	1,23,22,40,000	55.99	1,23,22,40,000	-	1,23,22,40,000	57.60	-	55.99	1,23,22,40,000	100.00	-	-	1,23,22,40,000
	Hindalco Industries Limited			3,95,11,455	-	-	3,95,11,455	1.80	3,95,11,455	-	3,95,11,455	1.85	-	1.80	-	-	-	-	3,95,11,455
	Birla Group Holdings Private Limited			76,68,178	-	-	76,68,178	0.35	76,68,178	-	76,68,178	0.36	-	0.35	-	-	-	-	76,68,178
	Rajratna Holdings Private Limited			938	-	-	938	-	938	-	938	-	-	-	-	-	-	-	938
	Umang Commercial Company Private Limited			3,74,44,766	-	-	3,74,44,766	1.70	3,74,44,766	-	3,74,44,766	1.75	-	1.70	-	-	-	-	3,74,44,766
	Vikram Holdings Pvt Ltd			1,050	-	-	1,050	-	1,050	-	1,050	-	-	-	-	-	-	-	1,050
	Vaibhav Holdings Private Limited			938	-	-	938	-	938	-	938	-	-	-	-	-	-	-	938
	Birla Institute Of Technology And Science			9,25,687	-	-	9,25,687	0.04	9,25,687	-	9,25,687	0.04	-	0.04	-	-	-	-	9,25,687
	Pilani Investment And Industries Corporation Ltd.			3,16,73,756	-	-	3,16,73,756	1.44	3,16,73,756	-	3,16,73,756	1.48	-	1.44	-	-	-	-	3,16,73,756
	Renuka Investments & Finance Limited			3,39,059	-	-	3,39,059	0.02	3,39,059	-	3,39,059	0.02	-	0.02	-	-	-	-	3,39,059
	IGH Holdings Private Limited			4,68,87,810	-	-	4,68,87,810	2.13	4,68,87,810	-	4,68,87,810	2.19	-	2.13	-	-	-	-	4,68,87,810

Category and Shareholders	Name of the Shareholders (I)	PAN (II)	No. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total No. shares held (VII)=(IV+V+VI)	Shareholding % calculate as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of A+B+C2	Number of locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No. of voting Rights		Total as a % of Total voting rights			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)		
									Class- Equity	Class eg : y									Total
	Turquoise Investment And Finance Private Limited			5,89,67,770	-	-	5,89,67,770	2.68	5,89,67,770	-	5,89,67,770	2.76	-	2.68	-	-	-	-	5,89,67,770
	Trapti Trading And Investments Private Limited			5,81,35,303	-	-	5,81,35,303	2.64	5,81,35,303	-	5,81,35,303	2.72	-	2.64	-	-	-	-	5,81,35,303
	TGS Investment & Trade Private Limited			5,02,34,905	-	-	5,02,34,905	2.28	5,02,34,905	-	5,02,34,905	2.35	-	2.28	-	-	-	-	5,02,34,905
	Manav Investment And Trading Co. Ltd.			16,77,965	-	-	16,77,965	0.08	16,77,965	-	16,77,965	0.08	-	0.08	-	-	16,77,965	100.00	16,77,965
	<b>Sub-total (A) (1)</b>		<b>24</b>	<b>1,56,76,72,067</b>	<b>-</b>	<b>-</b>	<b>1,56,76,72,067</b>	<b>71.23</b>	<b>1,56,76,72,067</b>	<b>-</b>	<b>1,56,76,72,067</b>	<b>73.27</b>	<b>-</b>	<b>71.23</b>	<b>1,23,22,40,000</b>	<b>78.60</b>	<b>16,77,965</b>	<b>0.11</b>	<b>1,56,76,72,067</b>
(2)	<b>FOREIGN</b>																		
(a)	<b>Individuals (Non-resident Individual/ Foreign Individuals)</b>																		
(b)	<b>Government</b>																		
(c)	<b>Institutions</b>																		
(d)	<b>Foreign Portfolio Investor</b>																		
(e)	<b>Any other (specify)</b>		<b>5</b>			<b>3,36,16,128</b>	<b>3,36,16,128</b>	<b>1.53</b>						<b>1.53</b>					<b>3,36,16,128</b>
	P.T. Indo Bharat Rayon			-	-	2,80,05,628	2,80,05,628	1.27	-	-	-	-	-	1.27	-	-	-	-	2,80,05,628
	P T Sunrise Bumi Textiles			-	-	17,76,250	17,76,250	0.08	-	-	-	-	-	0.08	-	-	-	-	17,76,250
	P T elegant Textile Industry			-	-	11,32,250	11,32,250	0.05	-	-	-	-	-	0.05	-	-	-	-	11,32,250
	Thai Rayon Public Company Limited			-	-	26,95,000	26,95,000	0.12	-	-	-	-	-	0.12	-	-	-	-	26,95,000
	Surya Kiran Investments Pte Limited			-	-	7,000	7,000	-	-	-	-	-	-	-	-	-	-	-	7,000
	<b>Sub-total (A) (2)</b>		<b>5</b>			<b>3,36,16,128</b>	<b>3,36,16,128</b>	<b>1.53</b>						<b>1.53</b>					<b>3,36,16,128</b>
	<b>Total Shareholding of Promoter and Promoter Group (A) = (A)(1) + (A)(2)</b>		<b>29</b>	<b>1,56,76,72,067</b>	<b>-</b>	<b>3,36,16,128</b>	<b>1,60,12,88,195</b>	<b>72.76</b>	<b>1,56,76,72,067</b>	<b>-</b>	<b>1,56,76,72,067</b>	<b>73.27</b>	<b>-</b>	<b>72.76</b>	<b>1,23,22,40,000</b>	<b>76.95</b>	<b>16,77,965</b>	<b>0.10</b>	<b>1,60,12,88,195</b>

Details of shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders : 0

No. of shares outstanding : 0

Note :

(1) PAN would not be displayed on website of Stock Exchange(s). (2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

**Table III-Statement showing shareholding pattern of the Public shareholders.**

(1)	Category & Name of the Shareholders (I)	PAN (II)	No. of shareholders (III)	No. of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total No. of shares held VII = IV+ V + VI	Share holding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting rights held in each class of securities (IX)			No. of shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of shares Pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No. of voting Rights					Total as a % of Total voting rights	No. (a)	As a % of total shares held (b)	No. (a) (not applicable)		As a % of total shares held (b) (Not applicable)
									Class- Equity	Class eg : y	Total								
	<b>Institutions</b>																		
(a)	Mutual funds		146	3,92,20,397	-	-	3,92,20,397	1.78	3,92,20,397	-	3,92,20,397	1.83	-	1.78	-	-	NA	NA	3,91,59,648
(b)	Venture Capital Funds			-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(c)	Alternate Investment Funds		10	5,70,60,747	-	-	5,70,60,747	2.59	5,70,60,747	-	5,70,60,747	2.67	-	2.59	4,84,00,000	84.82	NA	NA	5,70,60,747
	PI Opportunities Fund I		1	5,58,07,167	-	-	5,58,07,167	2.61	5,58,07,167	-	5,58,07,167	2.61	-	2.61	4,84,00,000	86.73	NA	NA	5,58,07,167
(d)	Foreign venture Capital Investors			-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(e)	Foreign Portfolio Investors		310	17,32,77,441	-	-	17,32,77,441	7.87	17,32,77,441	-	17,32,77,441	8.10	-	7.87	-	-	NA	NA	17,32,57,508
	Europacific Growth Fund		1	2,41,82,207	-	-	2,41,82,207	1.10	2,41,82,207	-	2,41,82,207	1.13	-	1.10	-	-	NA	NA	2,41,82,207
(f)	Financial Institutions/Banks		228	6,58,87,800	-	-	6,58,87,800	2.99	6,58,87,800	-	6,58,87,800	3.08	-	2.99	-	-	NA	NA	6,56,53,350
	Life Insurance Corporation of India		1	5,34,46,083	-	-	5,34,46,083	2.43	5,34,46,083	-	5,34,46,083	2.50	-	2.43	-	-	NA	NA	5,34,46,083
(g)	Insurance Companies		10	37,128	-	-	37,128	0.00	37,128	-	37,128	0.00	-	0.00	-	-	NA	NA	-
(h)	Provident funds/Pension Funds			-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(i)	Any other (specify)		6	16,35,198	-	-	16,35,198	0.07	16,35,198	-	16,35,198	0.08	-	0.07	-	-	NA	NA	16,35,198
	Foreign Bodies - DR		6	16,35,198	-	-	16,35,198	0.07	16,35,198	-	16,35,198	0.08	-	0.07	-	-	NA	NA	16,35,198
	<b>Sub-Total (B)(1)</b>		<b>710</b>	<b>33,71,18,711</b>	<b>-</b>	<b>-</b>	<b>33,71,18,711</b>	<b>15.32</b>	<b>33,71,18,711</b>	<b>-</b>	<b>33,71,18,711</b>	<b>15.76</b>	<b>-</b>	<b>15.32</b>	<b>4,84,00,000</b>	<b>14.36</b>	<b>NA</b>	<b>NA</b>	<b>33,67,66,451</b>
(2)	<b>Central Government/ State Government(s)/ President of India</b>		<b>2</b>	<b>11,068</b>	<b>-</b>	<b>-</b>	<b>11,068</b>	<b>0.00</b>	<b>11,068</b>	<b>-</b>	<b>11,068</b>	<b>0.00</b>	<b>-</b>	<b>0.00</b>	<b>-</b>	<b>-</b>	<b>NA</b>	<b>NA</b>	<b>11,068</b>
	<b>Sub-Total (B)(2)</b>		<b>2</b>	<b>11,068</b>	<b>-</b>	<b>-</b>	<b>11,068</b>	<b>0.00</b>	<b>11,068</b>	<b>-</b>	<b>11,068</b>	<b>0.00</b>	<b>-</b>	<b>0.00</b>	<b>-</b>	<b>-</b>	<b>NA</b>	<b>NA</b>	<b>11,068</b>
(3)	<b>Non-Institutions</b>																		
(a)	<b>Individuals.</b> i. Individual shareholders holding nominal share capital upto Rs.2 lakhs		3,17,696	11,57,93,295	-	-	11,57,93,295	5.26	11,57,93,295	-	11,57,93,295	5.41	-	5.26	-	-	NA	NA	10,22,90,525
	ii. Individual shareholders holding nominal share capital in excess of Rs.2 lakhs.		410	2,40,33,355	-	-	2,40,33,355	1.09	2,40,33,355	-	2,40,33,355	1.12	-	1.09	-	-	NA	NA	2,36,80,477
(b)	NBFCs registered with RBI		30	6,21,271	-	-	6,21,271	0.03	6,21,271	-	6,21,271	0.03	-	0.03	-	-	NA	NA	6,21,271
(c)	Employee Trusts			-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-

	Category & Name of the Shareholders (I)	PAN (II)	No. of shareholders (III)	No. of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total No. of shares held VII = IV + V + VI	Share holding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting rights held in each class of securities (IX)			No. of shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of shares Pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
									No. of voting Rights		Total as a % of Total voting rights			No. (a)	As a % of total shares held (b)	No. (a) (not applicable)	As a % of total shares held (b) (Not applicable)	
									Class- Equity	Class eg : y								
(d)	overseas Depositories (holding DRs ) (balancing figure)		1	-	-	2,78,40,975	2,78,40,975	1.26	-	-	-	-	1.26	-	-	NA	2,78,40,975	
	CITIBANK N.A., NEWYORK		-	-	-	2,78,40,975	2,78,40,975	1.26	-	-	-	-	1.26	-	-	NA	2,78,40,975	
(e)	Any other (specify)		13,329	9,42,00,081	-	-	9,42,00,081	4.28	9,42,00,081	-	9,42,00,081	4.40	-	4.28	-	-	NA	7,32,26,903
	Foreign Individuals		18	33,805	-	-	33,805	-	33,805	-	33,805	-	-	-	-	-	NA	33,805
	NRI – Repat		7,043	56,78,130	-	-	56,78,130	0.26	56,78,130	-	56,78,130	0.27	-	0.26	-	-	NA	36,13,993
	NRI – Non- Repat		2,030	21,75,341	-	-	21,75,341	0.10	21,75,341	-	21,75,341	0.10	-	0.10	-	-	NA	21,73,085
	Trusts		97	57,29,511	-	-	57,29,511	0.26	57,29,511	-	57,29,511	0.27	-	0.26	-	-	NA	56,87,171
	Clearing Members		413	51,53,873	-	-	51,53,873	0.23	51,53,873	-	51,53,873	0.24	-	0.23	-	-	NA	51,53,873
	Overseas corporate bodies		9	1,83,61,303	-	-	1,83,61,303	0.83	1,83,61,303	-	1,83,61,303	0.86	-	0.83	-	-	NA	-
	Bodies Corporate		3,719	5,70,68,118	-	-	5,70,68,118	2.59	5,70,68,118	-	5,70,68,118	2.67	-	2.59	-	-	NA	5,65,64,976
	<b>Sub-Total (B)(3)</b>		<b>3,31,466</b>	<b>23,46,48,002</b>	-	<b>2,78,40,975</b>	<b>26,24,88,977</b>	<b>11.93</b>	<b>23,46,48,002</b>	-	<b>23,46,48,002</b>	<b>10.97</b>	-	<b>11.92</b>	-	-	NA	<b>22,76,60,151</b>
	<b>Total Public Shareholding (B) = (B)(1) + (B)(2) + (B)(3)</b>		<b>3,32,178</b>	<b>57,17,77,781</b>	-	<b>2,78,40,975</b>	<b>59,96,18,756</b>	<b>27.24</b>	<b>57,17,77,781</b>	-	<b>57,17,77,781</b>	<b>26.73</b>	-	<b>27.24</b>	<b>4,84,00,000</b>	<b>8.07</b>	NA	<b>56,44,37,670</b>

Details of shares which remain unclaimed may be given here along with details such as Number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

**No. of shareholders :** **8305** **No. of shares :** **897177** **Voting rights frozen :** **897177**

Note :

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The above format needs to be disclosed along with the name of following persons : In stitutions/Non In stitutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

